

TUSKEGEE UNIVERSITY SUBRECIPIENT FORM

Office of Sponsored Programs

TU INFORMATION

TU PI :

PRIME SPONSOR:

PROPOSAL TITLE:

PERIOD OF PERFORMANCE:

TOTAL FUNDS REQUESTED:

SECTION A - SUBRECIPIENT INFORMATION

1. SUBRECIPIENT ORGANIZATION INFORMATION

LEGAL NAME:

ADDRESS:

2. PRINCIPAL INVESTIGATOR INFORMATION

NAME:

ADDRESS:

PHONE:

EMAIL:

FAX:

3. FINANCIAL CONTACT INFORMATION

NAME:

ADDRESS:

PHONE:

EMAIL:

FAX:

4. ADMINISTRATIVE CONTACT INFORMATION

NAME:

ADDRESS:

PHONE:

EMAIL:

FAX:

5. PERFORMANCE SITE (only if different)

ADDRESS:

6. AUTHORIZED OFFICIAL CONTACT INFORMATION

NAME:

TITLE:

ADDRESS:

PHONE:

EMAIL:

FAX:

7a. CONGRESSIONAL DISTRICT OF ORGANIZATION:

7b. CONGRESSIONAL DISTRICT PERFORMANCE SITE:

8. SUBRECIPIENT'S DUNS NUMBER:

9. SUBRECIPIENT'S DUNS Tax ID NUMBER (EIN/TIN):

10. IS SUBRECIPIENT CURRENTLY REGISTERED
IN SAM.gov?

YES

NO

11. IS SUBRECIPIENT EXEMPT FROM
REPORTING EXECUTIVE COMPENSATION?

YES

NO

12. SUBRECIPIENT'S UEI NUMBER

5. Debarment and Suspension:

Is the PI or any other employee or student participating in this project debarred, suspended or otherwise excluded from or ineligible for participation in federal assistance programs or activities?

No

If yes, please explain:

The organization/institution certifies that it:

is NOT presently debarred, suspended, proposed for debarment, or declared ineligible for award of federal contracts

is NOT presently indicted for, or otherwise criminally or civilly charged by a governmental entity

within three (3) years preceding this offer, has NOT been convicted of or had a civil judgment rendered against them for commission of fraud or criminal offense in connection with obtaining, attempting to obtain, or performing a public (federal, state or local) contract or subcontract; violation of Federal or State antitrust statutes relating to the submission of offers; or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements or receiving stolen property

6. Responsible Conduct of Research:

Project is being funded by NSF, NIH or USDA NIFA. The Subrecipient certifies, if applicable, that it maintains an Institutional Plan to meet the prime sponsor's requirements for the Responsible Conduct of Research

7. Export Control:

Subrecipient is individually responsible for ascertaining its compliance with Federal Export laws and procedures.

By checking this box, Subrecipient certifies that an Export Control Officer, or other Authorized Person, has reviewed the Subrecipients proposal for compliance with Federal Export Control laws. Explain potential problems in the comments section at the end of this form.

SECTION C – AUDIT STATUS

1. Is Subrecipient subject to OMB Uniform Guidance?

Yes – Please complete section a. below

No – Please complete section b. below

a. Most recent fiscal year audit completed:

Year:

URL for the Subrecipient's most recent audit:

Where any Audit Findings reported:

Yes No

If "yes" please provide details in Section E, Comments

b. Does the Subrecipient receive overall Federal funding of at least \$750,000 per year?

Yes No

Subrecipient is a : Non-profit entity (under Federal funding threshold)

Foreign entity

For-profit entity

Government entity

If a Subrecipient does not receive a 2 CFR 200.500 audit, TU may require Subrecipient to complete an Audit Certification and a financial status questionnaire as well as a limited scope audit before a subaward will be issued.

SECTION D – PROPOSAL DOCUMENTS

Please attach the following documents and return with this signed form

- Statement of Work
- Budget and Budget Justification
- Other

SECTION E – COMMENTS

SECTION F – AUTHORIZED OFFICIAL APPROVAL

APPROVED BY SUBRECIPIENT:

The information, certifications and representations above have been read, signed and made by an authorized official of the Subrecipient named herein. The appropriate programmatic and administrative personnel involved in this application are aware of the prime agency's policy in regard to subawards and are prepared to establish the necessary inter-institutional agreements consistent with those policies. Any work begun and/or expenses incurred prior to execution of a subrecipient agreement are at the subrecipient's risk.

Signature of Subrecipient's Authorized Official

Date

Name and Title of Authorized Official